

10/523593

DT: 04 FEB 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

ANDREOLETTI, ET AL.

Atty. Ref.: 620-359

Serial No.: Unassigned

Group: Unassigned

U.S. National Phase of PCT/EP2003/008926

Examiner: Unassigned

Filed: February 4, 2005

For: HETEROPOLYMERIC COMPOUND COMPRISING A  
SCAFFOLD, AN ADJUVANT AND AN ANTIGEN, AND ITS  
USE

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 4, 2005

Sir:

**INFORMATION DISCLOSURE STATEMENT**

- ☒ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action on the merits]  
N/C
- ☐ 2.(a) **Statement Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance (requires Rule 97(e)  
Statement or Rule 17(p) fee)]  
N/C
- ☐ 2.(b) **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance (requires Rule 97(e)  
Statement or Rule 17(p) fee)]  
\$180.00
- ☐ 3. **Pursuant to 37 CFR 1.97(d)**  
[after Final Office Action or Allowance (requires Rule 97(e)  
Statement and Rule 17(p) fee), but before final fee payment]  
\$180.00

**Best Available Copy**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MH/

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The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- ☒ 4. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document (such as a Search Report) is enclosed herewith. A copy of the International Search Report issued in connection with PCT/EP2003/008926 and references cited are attached.

This paper is submitted in accordance with:

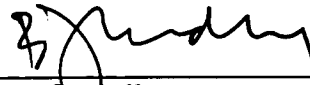
- ☒ 5. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 6. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ a) The required Statement made in item 8 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.
- ☐ 7. 37 CFR §1.97(d): [after Final Office Action or Allowance (requires Rule 97(e) Statement and Rule 17(p) fee), but before final fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☐ b) The required Statement is stated in item 8 below.
- ☐ 8. Statement under 37 CFR 1.97(e)
- ☐ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37

CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

- ☒ 9. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,  
NIXON & VANDERHYE P.C.

By: \_\_\_\_\_

  
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**INFORMATION DISCLOSURE  
 CITATION**

ATTY. DOCKET NO.

620-359

SERIAL NO.

371 of PCT/EP2003/008926  
 Unassigned

APPLICANT

ANDREOLETTI, ET AL.

(Use several sheets if necessary)

FILING DATE

February 4, 2005

GROUP

Unassigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
WO 00/69907	11/2000	WIPO			
WO 91/11461	08/1991	WIPO			
GB 2282813	04/1995	United Kingdom			
WO 01/00231	01/2001	WIPO			
WO 99/35260	07/1999	WIPO			

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**

	Shinya et al, Biomedicine and Pharmacotherapy, December 1999, Vol. 53, pp 471-483
	Kol et al, The Journal of Immunology, January 1, 2000, Vol. 164, No. 1, pp 13-17

\*Examiner /Michelle Horning/ Date Considered 02/20/2009

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)